



# **PRIDE INTERNATIONAL, INC.**

FOREIGN FREIGHT FORWARDERS-FMC3201  
LICENSED CUSTOM HOUSE BROKERS-CHB13251  
2200 BROENING HIGHWAY SUITE 241  
BALTIMORE, MARYLAND 21224  
Phone: 410-633-0033 fax: 410-633-0036

Effective 1/26/09, the Importer Security Filing (ISF) & additional carrier requirements (10+2) went into effect. This was an interim period to allow Importers time to comply with the rule. Full enforcement of the rule will go into effect on 1/26/2010. Exempt from the rule is bulk cargo, ro ro cargo & air freight.

Importers are responsible to comply with the rule. Effective 1/26/2010, if a filing is not submitted to U.S. Customs & Border Protection timely or accurately, a penalty of \$5,000.00 will be issued to the Importer regardless. The cargo could also be denied entry into the U.S.

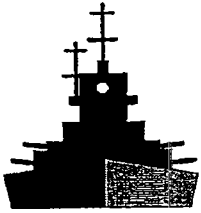
U.S. Customs & Border Protection has been reviewing ISF filings since implementation and is looking at this information to determine completeness & timeliness. If you are showing an attempt at compliance since 1/26/09, this may be taken into consideration if a penalty is issued.

Bonding is required for the Importer Security Filing. If you have a Continuous bond on file, this should cover the ISF. If not, we can provide a bond on your behalf. The premium for the bond has yet to be determined. As more information becomes available on bonding, we will advise you.

It is very important to select a responsible Agent to handle the Importer Security Filing on your behalf. Pride Intl., Inc. can handle the filing & we will charge a fee for this service.

The following information must be provided to enable us to file the ISF:

1. Importer name, address & number (IRS number, EIN number, Social Security number of the entity liable for payment of all duties and responsible for meeting all statutory and regulatory requirements.)

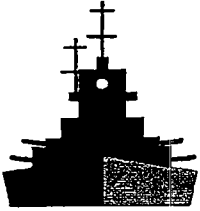


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2. Consignee name, address & number (IRS number, EIN number, Social Security number or CBP assigned number of the entity or individual in the U.S. on whose account the merchandise is shipped.)
3. Seller (owner) name & address (The last known entity by whom the goods are sold or agreed to be sold) If the goods are to be imported otherwise than in pursuance of a purchase, the name and address of the owner of the goods must be provided. If the seller/owner has a Duns number, please provide this.
4. Buyer (Owner) name & address (The last known entity to whom the goods are sold or agreed to be sold) If the goods are to be imported otherwise than in pursuance of a purchase, the name & address of the owner of the goods must be provided. If the buyer/owner has a Duns no., please provide this.
5. Ship to party name & address (First deliver to party scheduled to physically receive the goods after the goods have been released from Customs custody) If the Ship to Party has a Duns number, please provide this.
6. Manufacturer or Supplier name & address (The entity that last manufactures, assembles, produces, or grows the commodity or, name and address of the supplier of the finished goods in the country from which the goods are leaving) If the manufacturer/supplier has a Duns number, please provide this.
7. Country of Origin (Country of manufacture, production, or growth of the article, based upon the import laws, rules and regulations of the United States.
8. Commodity HTS number (Harmonized Tariff number under which the article is classified. This number must be provided to the six or ten digit level)

**SHIP WITH PRIDE**



# PRIDE INTERNATIONAL, INC.

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9. Container Stuffing Location (The physical location where the goods were stuffed into the container. For break bulk shipments (less than a container load) the name & address of the physical location where the goods were made "ship ready" must be provided) If the container stuffer has a Duns number, please provide this.

10. Consolidator name & address (The party who stuffed the container or arranged for the stuffing of the container. For break bulk cargo (less than a container load), the name and address of the party who made the goods "ship ready: or the party who arranged for the goods to be made "ship ready." If the consolidator has a Duns number, please provide this.

11. Bill of Lading number: Please provide the Shipping Co. Bill of Lading number & the House Bill of Lading number.

The above data elements must be provided to U.S. Customs & Border Protection no later than 24 hours before the cargo is loaded aboard a vessel destined for the U.S. There are no exceptions. If the filing is not provided within this time frame, a penalty of \$5,000.00 will incur. If any of the above information should change, the filing must be corrected with U.S. Customs & Border Protection.

We will keep this information on file in our data base. If you have repetitive shipments where the same information applies, we can access the information from our system.

We strongly urge you to contact your suppliers and partners overseas to make them aware of this rule.

Attached, please find information provided by U.S. Customs & Border Protection. Also attached is our ISF Transmittal. More information can be found at [www.cbp.gov](http://www.cbp.gov)

Please contact us with any questions or concerns you may have.

**SHIP WITH PRIDE**

**IMPORTER SECURITY FILING TRANSMITTAL**

**1.) IMPORTER OF RECORD NUMBER (IRS NUMBER, EIN NUMBER, SOCIAL SECURITY NUMBER OF THE ENTITY LIABLE FOR PAYMENT OF ALL DUTIES AND RESPONSIBLE FOR MEETING ALL STATUTORY AND REGULATORY REQUIREMENTS.**

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**2.) CONSIGNEE NUMBER (IRS NUMBER, EIN NUMBER, SOCIAL SECURITY NUMBER OR CBP ASSIGNED NUMBER OF THE INDIVIDUAL OR FIRM IN THE U.S. ON WHOSE ACCOUNT THE MERCHANDISE IS SHIPPED.**

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**3.) SELLER (OWNER) NAME AND ADDRESS (THE LAST KNOWN ENTITY BY WHOM THE GOODS ARE SOLD OR AGREED TO BE SOLD) IF THE GOODS ARE TO BE IMPORTED OTHERWISE THAN IN PURSUANCE OF A PURCHASE, THE NAME AND ADDRESS OF THE OWNER OF THE GOODS MUST BE PROVIDED. IF THE SELLER/OWNER HAS A DUNS NUMBER, PLEASE PROVIDE THIS.**

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**4.) BUYER (OWNER) NAME AND ADDRESS (THE LAST KNOWN ENTITY TO WHOM THE GOODS ARE SOLD OR AGREED TO BE SOLD) IF THE GOODS ARE TO BE IMPORTED OTHERWISE THAN IN PURSUANCE OF A PURCHASE, THE NAME AND ADDRESS OF THE OWNER OF THE GOODS MUST BE PROVIDED. IF THE BUYER/OWNER HAS A DUNS NO., PLEASE PROVIDE THIS.**

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**5.) SHIP TO PARTY (FIRST DELIVER-TO PARTY SCHEDULED TO PHYSICALLY RECEIVE THE GOODS AFTER THE GOODS HAVE BEEN RELEASED FROM CUSTOMS CUSTODY) IF THE SHIP TO PARTY HAS A DUNS NO., PLEASE PROVIDE THIS.**

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On January 26, 2009, the new rule titled Importer Security Filing and Additional Carrier Requirements (commonly known as "10+2") went into effect. This new rule applies to import cargo arriving to the United States by vessel. Failure to comply with the new rule could ultimately result in monetary penalties, increased inspections and delay of cargo.

The information submitted in Importer Security Filings improves U.S. Customs and Border Protection's (CBP) ability to identify high-risk shipments in order to prevent smuggling and ensure cargo safety and security.

### What Is an Importer Security Filing?

Under the new rule, before merchandise arriving by vessel can be imported into the United States, the "Importer Security Filing (ISF) Importer," or their agent (e.g., licensed customs broker), must electronically submit certain advance cargo information to CBP in the form of an Importer Security Filing. This requirement only applies to cargo arriving in the United States by ocean vessel; it does not apply to cargo arriving by other modes of transportation.

### Who is Responsible for the Filing?

The ISF Importer is required to submit the Importer Security Filing. The ISF Importer is the party causing the goods to arrive within the limits of a port in the United States by vessel. Typically, the ISF Importer is the goods' owner, purchaser, consignee, or agent such as a licensed customs broker.

However, for foreign cargo remaining on board (FROB), the ISF Importer is the carrier. For immediate exportation (IE) and transportation and exportation (T&E) in-bond shipments, and goods to be delivered to a foreign trade zone (FTZ), the ISF Importer is the party filing the IE, T&E, or FTZ documentation.



### What Must Be Filed?

Shipments Consisting of Goods Intended to be Entered into the United States and Goods Intended to be Delivered to a Foreign Trade Zone

ISF Importers, or their agent, must provide eight data elements, no later than 24 hours before the cargo is laden aboard a vessel destined to the United States. Those data elements include:

- Seller
- Buyer
- Importer of record number / FTZ applicant identification number
- Consignee number(s)
- Manufacturer (or supplier)\*
- Ship to party \*
- Country of origin \*
- Commodity Harmonized Tariff Schedule of the United States (HTSUS) number\*

\* ISF Importers have flexibility with respect to the submission of these four data elements. For these data elements, importers may submit a range of acceptable responses based on facts available to the ISF Importer at the time of submission. The Importer Security Filing must be updated as soon as more accurate or precise data becomes available and no later than 24 hours prior to the ship's arrival at a U.S. port.

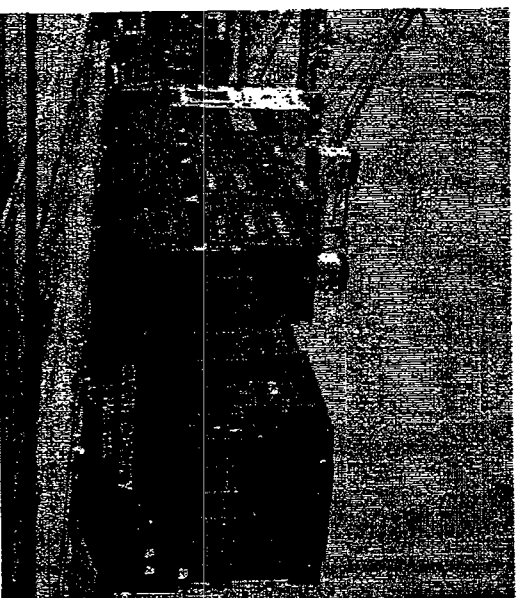
Two additional data elements must be submitted as early as possible, but no later than 24 hours prior to the ship's arrival at a U.S. port. These data elements are:

- Container stuffing location; and
- Consolidator

### FROB, IE Shipments, and T&E Shipments

For shipments consisting entirely of FROB and shipments consisting entirely of goods intended to be transported in-bond as an IE or T&E, the Importer Security Filing must consist of five elements. Importer Security Filings for IE and T&E shipments must be submitted no later than 24 hours before the cargo is laden aboard a vessel destined to the United States and Importer Security Filings for FROB must be submitted any time prior to lading. The following five data elements must be submitted for FROB, IE and T&E shipments:

- Booking party
- Foreign port of unloading
- Place of delivery
- Ship to party
- Commodity HTSUS number



### **Bulk and Break Bulk Cargo**

An Importer Security Filing is not required for bulk cargo.

For break bulk cargo that is exempt from the 24 hour prior to lading lashing requirement for 24 Hour Rule purposes, the Importer Security Filing is required 24 hours prior to arrival.

### **How Will the Rule be Enforced?**

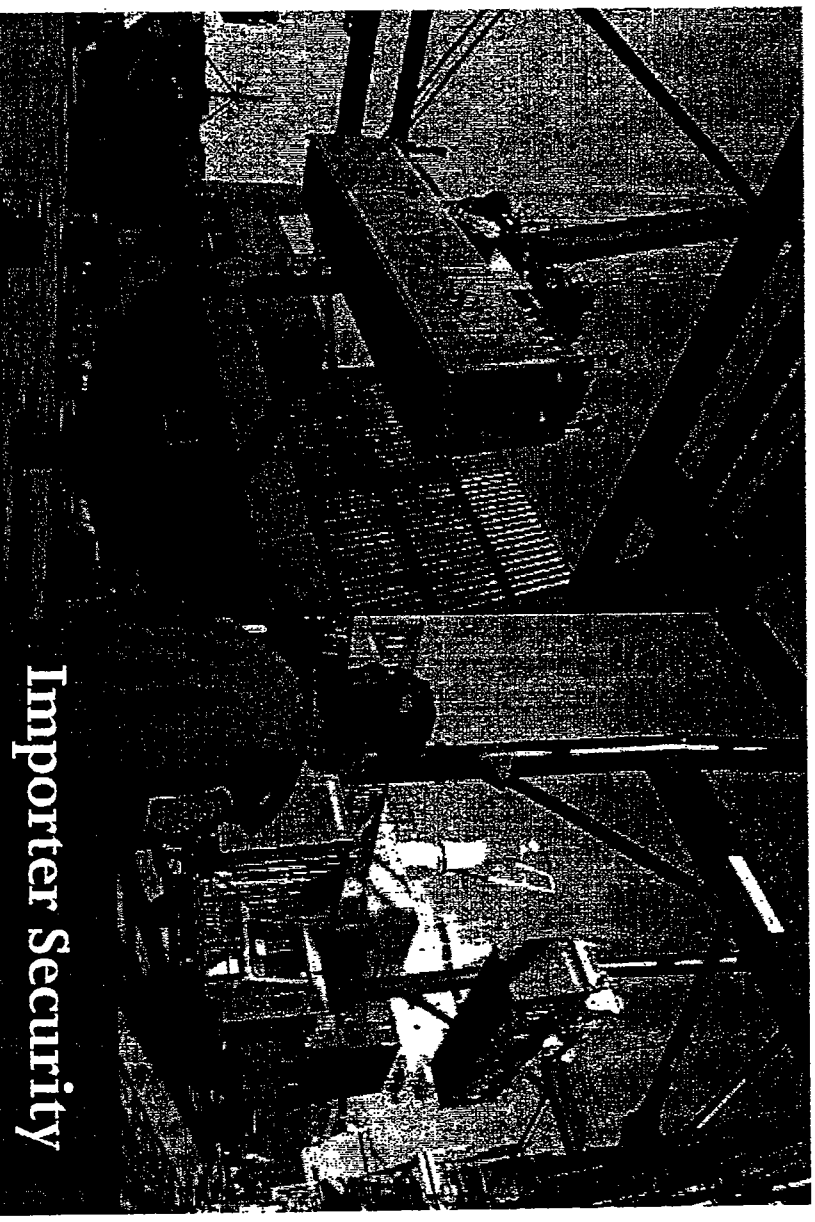
The interim final rule includes a delayed enforcement date of 12 months after the interim final rule takes effect. During this 12-month period, CBP will show restraint in enforcing the rule. CBP will take into account difficulties that importers may face in complying with the rule as long as importers are making a good faith effort and satisfactory progress toward compliance. The flexible enforcement period will end January 26, 2010. CBP may issue liquidated damages of \$5,000 per violation for the submission of an inaccurate, incomplete or untimely filing. If goods for which an ISF has not been filed arrive in the U.S., CBP may withhold the release or transfer of the cargo; CBP may refuse to grant a permit to unlade for the merchandise; and if such cargo is unladen without permission, it may be subject to seizure. Additionally, noncompliant cargo could be subject to "do not load" orders at origin or further inspection on arrival.

### **Where Can I Find More Information?**

For more detailed information about the Importer Security Filing, please visit the CBP website at [http://www.cbp.gov/xp/cgov/trade/cargo\\_security/carriers/security\\_filing/](http://www.cbp.gov/xp/cgov/trade/cargo_security/carriers/security_filing/). The website includes fact sheets, FAQs, and other public outreach sources.

Additionally, questions may be sent to [Security\\_Filing\\_General@cbp.dhs.gov](mailto:Security_Filing_General@cbp.dhs.gov).

Additional assistance may be available from your licensed customs broker, freight forwarders, trade associations and local trade centers.



U.S. Customs and  
Border Protection

U.S. Customs and Border Protection  
Office of Field Operations  
1300 Pennsylvania Avenue, NW  
Suite 5, 5B  
Washington, DC 20229

[www.cbp.gov](http://www.cbp.gov)

CBP Publication No. xxx-xxxx  
August 2009

# **Importer Security Filing and Additional Carrier Requirements**



U.S. Customs and  
Border Protection

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**6.) MANUFACTURER (SUPPLIER) NAME/ADDRESS (THE ENTITY THAT LAST MANUFACTURES, ASSEMBLES, PRODUCES, OR GROWS THE COMMODITY) OR, NAME AND ADDRESS OF THE SUPPLIER OF THE FINISHED GOODS IN THE COUNTRY FROM WHICH THE GOODS ARE LEAVING. IF THE MANUFACTURER/SUPPLIER HAS A DUNS NO., PLEASE PROVIDE THIS.**

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**7.) COUNTRY OF ORIGIN (COUNTRY OF MANUFACTURE, PRODUCTION, OR GROWTH OF THE ARTICLE, BASED UPON THE IMPORT LAWS, RULES AND REGULATIONS OF THE U.S.)**

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**8.) COMMODITY: HTS CODE (PRIDE CAN DETERMINE THE HARMONIZED CODE BASED ON YOUR PACKING LIST- PLEASE ATTACHED PACKING LIST OR LIST COMMODITIES)**

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**9.) CONTAINER STUFFING LOCATION (THE PHYSICAL LOCATION WHERE THE GOODS WERE STUFFED INTO THE CONTAINER). FOR BREAK BULK SHIPMENTS (LESS THAN A CONTAINER LOAD) THE NAME & ADDRESS OF THE PHYSICAL LOCATION WHERE THE GOODS WERE MADE "SHIP READY" MUST BE PROVIDED. IF THE CONTAINER STUFFER HAS A DUNS NO., PLEASE PROVIDE THIS.**

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**10.) CONSOLIDATOR NAME/ADDRESS (THE PARTY WHO STUFFED THE CONTAINER OR ARRANGED FOR THE STUFFING OF THE CONTAINER). FOR BREAK BULK CARGO (LESS THAN A CONTAINER LOAD), THE NAME AND ADDRESS OF THE PARTY WHO MADE THE GOODS "SHIP READY" OR THE PARTY WHO ARRANGED FOR THE GOODS TO BE MADE "SHIP READY." IF THE CONSOLIDATORS HAS A DUNS NO., PLEASE PROVIDE THIS.**

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**11.) BILL OF LADING NUMBER: PLEASE PROVIDE THE SHIPPING CO. BILL OF LADING NUMBER & THE HOUSE BILL OF LADING NO.**

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**PLEASE COMPLETE THE FOLLOWING:**

**I HEREBY AUTHORIZE PRIDE INTERNATIONAL, INC. TO FILE THE ABOVE DATA ELEMENTS TO U.S. CUSTOMS AND BORDER PROTECTION ON MY BEHALF.**

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**COMPANY NAME**

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**AUTHORIZED SIGNATURE**

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**DATE**